STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	10/584.837
Source:	1 FWP
Date Processed by STIC:	7/12/06
•	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
 U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
 Alexandria, VA 22314

Revised 01/10/06



IFWP

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/584,837

DATE: 07/12/2006 TIME: 10:12:26

Input Set : A:\Sequence Listing ASCII.ST25.txt

Output Set: N:\CRF4\07122006\J584837.raw

```
3 <110 > APPLICANT: TOUDAI TLO, LTD
     5 <120> TITLE OF INVENTION: METHODS OF IDENTIFYING IMMUNOREGULATORY AGENTS,
             IMMUNOREGULATORY AGENTS, AND USES THEREOF
     6
     8 <130> FILE REFERENCE: 2144.0150002
                                                            M 2-3
C--> 10 <140> CURRENT APPLICATION NUMBER: US/10/584,837
C--> 10 <141> CURRENT FILING DATE: 2006-06-27
    10 <150> PRIOR APPLICATION NUMBER: US 60/532,723
    11 <151> PRIOR FILING DATE: 2003-12-29
                                                            Does Not Comply
    13 <160> NUMBER OF SEQ ID NOS: 12
                                                            Corrected Diskette Needed
    15 <170> SOFTWARE: PatentIn version 3.3
    17 <210> SEQ ID NO: 1
    18 <211> LENGTH: 59
    19 <212> TYPE: DNA
     20 <213> ORGANISM: Artificial
     22 <220> FEATURE:
     23 <223> OTHER INFORMATION: an artificially synthesized primer sequence
     25 <400> SEQUENCE: 1
     26 ggacgcgttt tagcattttg gtctaaacta atttataatt atttagcctt atttctcca
                                                                              59
     29 <210> SEQ ID NO: 2
     30 <211> LENGTH: 45
     31 <212> TYPE: DNA
     32 <213> ORGANISM: Artificial
     34 <220> FEATURE:
     35 <223> OTHER INFORMATION: an artificially synthesized primer sequence
     37 <400> SEQUENCE: 2
                                                                              45
     38 ggacgcgttt ggaatttaaa atgttcaaaa tgatttgtct ggatg
     41 <210> SEQ ID NO: 3
     42 <211> LENGTH: 38
     43 <212> TYPE: DNA
     44 <213> ORGANISM: Artificial
     46 <220> FEATURE:
     47 <223> OTHER INFORMATION: an artificially synthesized primer sequence
     49 <400> SEQUENCE: 3
                                                                               38
     50 ggacgcgttt ggttgtggaa attggcaggg ttaggtgg
     53 <210> SEQ ID NO: 4
     54 <211> LENGTH: 37
     55 <212> TYPE: DNA
     56 <213> ORGANISM: Artificial
     58 <220> FEATURE:
     59 <223> OTHER INFORMATION: an artificially synthesized primer sequence
     61 <400> SEQUENCE: 4
                                                                               37
     62 ggacgcgtat tcaggctcat cttaacgtca tgtctgg
     65 <210> SEQ ID NO: 5
```

RAW SEQUENCE LISTING DATE: 07/12/2006 PATENT APPLICATION: US/10/584,837 TIME: 10:12:26

Input Set : A:\Sequence Listing ASCII.ST25.txt
Output Set: N:\CRF4\07122006\J584837.raw

```
66 <211> LENGTH: 32
     67 <212> TYPE: DNA
     68 <213 > ORGANISM: Artificial
     70 <220> FEATURE:
     71 <223> OTHER INFORMATION: an artificially synthesized primer sequence
     73 <400> SEQUENCE: 5
                                                                                      32
     74 cgctcgagtg tgctagtccc tgttacagca gc
     77 <210> SEQ ID NO: 6
     78 <211> LENGTH: 23
     79 <212> TYPE:(RNA)
     80 <213> ORGANISM: Artificial
     82 <220> FEATURE:
     83 <223> OTHER INFORMATION: an artificially synthesized oligonucleotide for siRNA
                                                        ho Hs allowed in an RNA sequence, if who says they he sequenced by "n."

In a combined DNA/RNA sequence, use <2127 DNA sequence, use <2127 DNA and synthesized oligonucleotide for SIRNA
     86 <220> FEATURE:
     87 <221> NAME/KEY: misc_feature
     88 <222> LOCATION: (22)..(23)
     89 <223> OTHER INFORMATION: n indicates 2' deoxythymidine
     91 <400> SEQUENCE: 6
W--> 92 aacaacaagg ccauggcaga cnn
     95 <210> SEQ ID NO: 7
     96 <211> LENGTH: 23
     97 <212> TYPE: RNA
     98 <213> ORGANISM Artificial
     100 <220> FEATURE:
     101 <223> OTHER INFORMATION: an artificially synthesized oligonucleotide for siRNA
     104 <220> FEATURE:
                                                                 same evor
     105 <221> NAME/KEY: misc_feature
     106 <222> LOCATION: (22)..(23)
     107 <223> OTHER INFORMATION: n indicates 2'-faeoxythymidine
     109 <400> SEQUENCE: 7
                                                                                        23
W--> 110 aaggagaucg accuggucaa cnn
     113 <210> SEQ ID NO: 8
     114 <211> LENGTH: 23
     115 <212> TYPE: RNA
     116 <213> ORGANISM: Artificial
     118 <220> FEATURE:
     119 <223> OTHER INFORMATION: an artificially synthesized oligonucleotide for siRNA
     122 <220> FEATURE:
                                                             same
     123 <221> NAME/KEY: misc feature
     124 <222> LOCATION: (22)..(23)
     125 <223> OTHER INFORMATION: n indicates 2 / deoxythymidine
     127 <400> SEQUENCE: 8
                                                                                        23
W--> 128 uacaagaagg gcauggcaga cnn
     131 <210> SEQ ID NO: 9
     132 <211> LENGTH: _23
     133 <212> TYPE: (RNA
     134 <213 > ORGANISM: Artificial
```

137 <223> OTHER INFORMATION: an artificially synthesized oligonucleotide for siRNA

136 <220> FEATURE:

RAW SEQUENCE LISTING DATE: 07/12/2006
PATENT APPLICATION: US/10/584,837 TIME: 10:12:26

Input Set : A:\Sequence Listing ASCII.ST25.txt
Output Set: N:\CRF4\07122006\J584837.raw

```
140 <220> FEATURE:
     141 <221> NAME/KEY: misc feature
     142 <222> LOCATION: (22)..(23)
     143 <223 > OTHER INFORMATION: n indicates 2'
                                                  deoxythymidine
     145 <400> SEQUENCE: 9
                                                                                 23
W--> 146 aaguuggcca agaauuacgg cnn
     149 <210> SEQ ID NO: 10
     150 <211> LENGTH: 22
     151 <212> TYPE:( RNA
     152 <213 > ORGANISM: Artificial
     154 <220> FEATURE:
     155 <223> OTHER INFORMATION: an artificially synthesized oligonucleotide for siRNA
     158 <220> FEATURE:
     159 <221> NAME/KEY: misc feature
                                                                   sane
     160 <222> LOCATION: (21)..(22)
     161 <223> OTHER INFORMATION: n indicates 2 /-deoxythymidine
     163 <400> SEQUENCE: 10
                                                                                 22
W--> 164 aacaaggauc cgccaucaac nn
     167 <210> SEQ ID NO: 11
     168 <211> LENGTH: 23
     169 <212> TYPE / RNA
     170 <213> ORGANISM: Artificial
     172 <220> FEATURE:
     173 <223> OTHER INFORMATION: an artificially synthesized oligonucleotide for siRNA
     176 <220> FEATURE:
     177 <221> NAME/KEY: misc feature
     178 <222> LOCATION: (22)..(23)
     179 <223> OTHER INFORMATION: n indicates 2 -deoxythymidine
     181 <400> SEQUENCE: 11
                                                                                 23
W--> 182 uaguucgcca aguauuaccg cnn
     185 <210> SEQ ID NO: 12
     186 <211> LENGTH: 11
     187 <212> TYPE: PRT
     188 <213> ORGANISM: Homo sapiens
     190 <400> SEQUENCE: 12
     192 Trp Val Tyr Glu Glu Glu Val Phe Ser Ala Tyr
     193 1
```

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 07/12/2006
PATENT APPLICATION: US/10/584,837 TIME: 10:12:27

Input Set : A:\Sequence Listing ASCII.ST25.txt

Output Set: N:\CRF4\07122006\J584837.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:6; N Pos. 22,23 Seq#:7; N Pos. 22,23 Seq#:8; N Pos. 22,23 Seq#:9; N Pos. 22,23 Seq#:10; N Pos. 21,22 Seq#:11; N Pos. 22,23

Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:1,2,3,4,5,6,7,8,9,10,11

VERIFICATION SUMMARY

DATE: 07/12/2006

PATENT APPLICATION: US/10/584,837

TIME: 10:12:27

Input Set : A:\Sequence Listing ASCII.ST25.txt

Output Set: N:\CRF4\07122006\J584837.raw

L:10 M:270 C: Current Application Number differs, Replaced Current Application No

L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date

L:92 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:0

L:128 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:0
L:128 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8 after pos.:0
L:146 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9 after pos.:0

L:164 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 after pos.:0

L:182 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11 after pos.:0